

**COUNTY OF SAN LUIS OBISPO BOARD OF SUPERVISORS
AGENDA ITEM TRANSMITTAL**

(1) DEPARTMENT Auditor - Controller - Treasurer - Tax Collector	(2) MEETING DATE 6/3/2014	(3) CONTACT/PHONE Kerry Bailey 788-2979	
(4) SUBJECT Submittal of a follow-up review report of the Public Guardian for Calendar Year 2012. All Districts.			
(5) RECOMMENDED ACTION It is recommended that the Board receive, review, and file the attached follow-up review report.			
(6) FUNDING SOURCE(S) N/A	(7) CURRENT YEAR FINANCIAL IMPACT \$0.00	(8) ANNUAL FINANCIAL IMPACT \$0.00	(9) BUDGETED? Yes
(10) AGENDA PLACEMENT <input checked="" type="checkbox"/> Consent <input type="checkbox"/> Presentation <input type="checkbox"/> Hearing (Time Est. ___) <input type="checkbox"/> Board Business (Time Est. ___)			
(11) EXECUTED DOCUMENTS <input type="checkbox"/> Resolutions <input type="checkbox"/> Contracts <input type="checkbox"/> Ordinances <input checked="" type="checkbox"/> N/A			
(12) OUTLINE AGREEMENT REQUISITION NUMBER (OAR) N/A		(13) BUDGET ADJUSTMENT REQUIRED? BAR ID Number: N/A <input type="checkbox"/> 4/5 Vote Required <input checked="" type="checkbox"/> N/A	
(14) LOCATION MAP N/A	(15) BUSINESS IMPACT STATEMENT? No	(16) AGENDA ITEM HISTORY <input type="checkbox"/> N/A Date: <u>11-26-2013</u>	
(17) ADMINISTRATIVE OFFICE REVIEW <i>Nikki J. Schmidt</i>			
(18) SUPERVISOR DISTRICT(S) All Districts			

County of San Luis Obispo



TO: Board of Supervisors
FROM: James P. Erb, Auditor-Controller-Treasurer-Tax Collector
DATE: 6/3/2014
SUBJECT: Submittal of a follow-up review report of the Public Guardian for Calendar Year 2012. All Districts.

RECOMMENDATION

It is recommended that the Board receive, review, and file the attached follow-up review report.

DISCUSSION

Through the Conservatorship and the Public Representative Payee programs, the Public Guardian's Office manages the property, finances and personal care needs of county individuals who are substantially unable to provide for themselves.

The purpose of our review was to determine whether the Public Guardian had implemented the Auditor-Controller-Treasurer-Tax Collector-Public Administrator's recommendations provided in our September 26, 2013 report.

We found that the Public Guardian implemented the recommendations provided in the prior report. We also found a current issue with the segregation of duties for cash disbursements. The Public Guardian's response to our finding is included in the attached report.

OTHER AGENCY INVOLVEMENT/IMPACT

Public Guardian staff were interviewed, provided documentation, and responded to our findings.

FINANCIAL CONSIDERATIONS

The Public Guardian held a monthly average of \$556,955 client funds in calendar year 2012.

RESULTS

Auditor-Controller-Treasurer-Tax Collector-Public Administrator reviews help maintain accountability and compliance with governing code and contribute to the County's vision of a well-governed community.

ATTACHMENTS

1. Follow-Up review of the Public Guardian